CF-86



THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.

Application No.: 10/699,858 Confirmation No.: 5127

Filed : October 31, 2003

For : KEYBOARD TRADING SYSTEM

Group Art Unit: 2661

New York, New York August 1, 2006

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

Transmitted herewith is a Fourth Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- [] within three months of the application filing date;
- [X] more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Information Disclosure Statement to Deposit Account No. 06-1075 (Order No. 000741-0086). A duplicate copy of this letter is transmitted herewith.

Respectfully submitted,

Hassan Albakri

Limited Recognition No. L0013 Agent for Applicants FISH & NEAVE IP GROUP ROPES & GRAY LLP

Customer No. 1473

1251 Avenue of the Americas New York, New York 10020-1105

Tel.: (212) 596-9000

I hereby certify that this correspondence is being deposited with the US Postai Service as First Class Mail in an envelope Addressed to: Commissioner for Patents

P.O. Box 1450 Alexandria, VA 22313-1450 on

Signature of person Signing

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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P.O. Box 1450 Alexandria, V.A. 22313-1450 New York, New York August 1, 2006

FOURTH SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants wish to call the attention of the Examiner to the following documents:

U.S. Patent Documents

Iggulden et al.	5,579,002	(11/26/96)
Wolf et al.	5,668,358	(09/16/97)
Amro et al.	5,757,292	(05/26/98)
Jain et al.	6,343,278 B1	(01/29/02)
Houston	2002/0154038 A1	(10/24/02)

Foreign Patent Documents

Canon Inc.	JP 59184929	(10/20/84)
PAe. Michelis & Preissner	DE 19606467 A1	(08/28/97)
Ergodex	WO 01/69366 A2	(09/20/01)
Higginson	WO 03/026135 A1	(03/27/03)

The aforementioned documents are listed on the accompanying Form PTO/SB/08A (submitted in duplicate), and copies thereof are enclosed herewith. It is respectfully requested that these documents be (1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent which may issue on this application. Applicants respectfully request that a copy of Form PTO/SB/08A, as considered and initialed by the Examiner, be returned with the next communication.

Respectfully submitted,

Hassan Albakri

Limited Recognition No. L0013
Agent for Applicants
FIGURE AND TREE CROWN

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PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
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Substit	ute for form 14	449/PT	0	Complete if known		
				Application Number	10/699,858	
INF	ORMATION	DISC	CLOSURE	Confirmation No.	5127	
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OTATEMENT BY ALL EIGANIG				First Named Inventor	Howard W. Lutnick et al.	
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(use as many sheets as necessary)		Examiner Name	Not yet assigned			
Sheet	1	of	1	Attorney Docket Number	CF-86	

U.S. PATENT DOCUMENTS						
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Petentee or Applicant of Cited Documents	Peges, Columns, Lines, Where Relevant Pesseges or Relevant	
initials*	No.1	Number - Kind Code ² (if known)			Figures Appear	
/P.S./		5,579,002	11/26/96	Iggulden et al.		
		5,668,358	09/16/97	Wolf et al.		
1,		5,757,292	05/26/98	Amro et al.		
W-		6,343,278 B1	01/29/02	Jain et al.		
/P.S./		2002/0154038 A1	10/24/02	Houston		

Ī	Examiner Signature	Date Considered	
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	FOREIGN PATENT DOCUMENTS						
Examiner	Cite	Foreign Petent Document	Publication Date	Name of Patenine or	Peges, Columns, Lines, Where Relevant Pessages or Relevent Figures Appear	-	
initials	No.1	country coss ¹ - Number ² - Nne coss ¹	MM-DD-YYYY	Applicant of Cited Documents		٠	
		JP-50184020	10/20/84	Genen Inc			
	-	DE-10606467-A1	-69/29/07	PAe, Michelis & Preissner			
/P.S./		WO 01/69366 A2	09/20/01	Ergodex		П	
/P.S./		WO 03/026135 A1	03/27/03	Higginson			

NON PATENT LITERATURE DOCUMENTS				
Examiner Cite Include name of the eurhor (in CAPITAL LETTERS), little of the erticle (when appropriate), little of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(d), volume-saue number(s), publisher, city endfor country where published.				
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Examiner Signature	/Paul Shumate/	Date Considered	02/19/2008